

## Internal Audit Annual Plan 2011/12 - Update at 18 January 2012

Work Allocations		Actuals to 18/01/12	Remaining	Committed	Approved Plan (21/09/11)	Variance	Status at 18/01/12
Job No	Title						
<b>1. ASSURANCE WORK</b>							
<b>LCC Core Financial Systems</b>							
10/0806	Treasury Management	8	0	8			✓
10/0809	Officer Expenses	2	0	2			✓
10/0810	Payroll	13	0	13			✓
10/0812	Car Parking	6	0	6			✓
10/0813	Sundry Debtors	1	0	1			✓
10/0814	Value Added Tax	1	0	1			✓
10/0815	Income Tax and National Insurance	6	0	6			✓
10/0816	Income Management	12	0	12			✓
10/0817	Main Accounting	6	0	6			✓
10/0819	Purchasing Cards	11	0	11			✓
11/0829	Housing Rents	9	10	19			⚠
11/0833	Asset Management	6	7	13			⚠
11/0842	Creditors	0	10	10			⚠
<b>Sub-total - Core Financial Systems</b>		<b>81</b>	<b>27</b>	<b>108</b>	<b>82</b>	<b>-26</b>	
<b>Revenues Shared Service - Financial Systems</b>							
11/0822	NNDR (LCC)	20	0	20			✓
11/0823	Council Tax (LCC)	12	0	12			✓
11/0824	Housing Benefit and Council Tax Benefit (LCC)	24	0	24			✓
11/0834	NNDR (Preston CC)	18	2	20			⚠
11/0835	Council Tax (Preston CC)	1	10	11			⚠
11/0839	Housing Benefit and Council Tax Benefit (Preston CC)	4	16	20			⚠
<b>Sub-total - Revenues Shared Services</b>		<b>79</b>	<b>28</b>	<b>107</b>	<b>92</b>	<b>-15</b>	
<b>Core Management Arrangements</b>							
10/0787	National Fraud Initiative 2010/11	10	0	10			∞
11/0827	National Fraud Initiative 2011/12	8	0	8			∞
11/0828	Business Continuity	9	0	9			✓
11/0797	Budgetary Control	1	2	3			⚠
<b>Sub-total - Core Management Arrangements</b>		<b>28</b>	<b>2</b>	<b>30</b>	<b>81</b>	<b>51</b>	
<b>Risk Based Assurance Work Programme</b>							
11/0821	RMS Partnering Contract	57	0	57			✓
11/0826	Consultancy Commissioning and Procurement	74	2	76			⚠
11/0831	Williamson Park Financial Systems	17	0	17			⚠
11/0837	Complaints Policy & Procedures	10	12	22			⚠
11/0841	RMS Planned Mtce - Hala Flats Rendering Project	17	3	20			⚠
<b>Sub-total - Risk Based Assurance Work</b>		<b>175</b>	<b>17</b>	<b>192</b>	<b>105</b>	<b>-87</b>	
<b>Follow-Up Reviews</b>		<b>47</b>	<b>13</b>	<b>60</b>	<b>70</b>	<b>10</b>	∞
<b>SUB-TOTAL - ASSURANCE WORK</b>		<b>410</b>	<b>87</b>	<b>497</b>	<b>430</b>	<b>-67</b>	

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<b>2. CONSULTANCY WORK</b>							
<b>Support Work (projects and other)</b>							
10/0793	Counter Crime Policy & Strategy	12	2	14			
10/0509	RIPA Monitoring and Central Register	7	1	8			
10/0803	Annual Governance Review & Statement 2010/11	4	0	4			
11/0820	Review of Financial Regulations & CPRs	5	2	7			
10/0807	Information Management Group	1	1	2			
11/0838	HR & Payroll System Replacement	2	3	5			
<b>Sub-total - Support Work</b>		<b>31</b>	<b>9</b>	<b>40</b>	<b>30</b>	<b>-10</b>	
<b>Efficiency &amp; VfM</b>							
	Mobile Phones, etc	0	0	0			
<b>Sub-total - Efficiency &amp; VfM</b>		<b>0</b>	<b>0</b>	<b>0</b>	<b>30</b>	<b>30</b>	
<b>Ad-Hoc Advice</b>		<b>41</b>	<b>14</b>	<b>55</b>	<b>65</b>	<b>10</b>	
<b>SUB-TOTAL - CONSULTANCY WORK</b>		<b>72</b>	<b>23</b>	<b>95</b>	<b>125</b>	<b>30</b>	
<b>3. OTHER</b>							
11/0392	Deputy s151 Officer Duties	12	3	15			
<b>SUB-TOTAL - OTHER</b>		<b>12</b>	<b>3</b>	<b>15</b>	<b>15</b>	<b>0</b>	
<b>4. AUDIT MANAGEMENT</b>							
11/0172	Committee Work	16	3	19			
11/0189	Audit Planning & Monitoring	34	8	42			
<b>SUB-TOTAL - AUDIT MANAGEMENT</b>		<b>50</b>	<b>11</b>	<b>61</b>	<b>55</b>	<b>-6</b>	
<b>5. CONTINGENCIES</b>							
<b>Investigations</b>		<b>9</b>	<b>0</b>	<b>9</b>	<b>30</b>	<b>21</b>	
<b>General Contingency</b>		<b>0</b>	<b>0</b>	<b>0</b>	<b>40</b>	<b>40</b>	
<b>SUB-TOTAL - CONTINGENCIES</b>		<b>9</b>	<b>0</b>	<b>9</b>	<b>70</b>	<b>61</b>	
<b>TOTALS</b>		<b>553</b>	<b>124</b>	<b>677</b>	<b>695</b>	<b>18</b>	

Key: Completed    In Progress    Not Yet Started    Continuous or Multi-Year Activity  
 Carried Forward to 2012/13 Plan    Abandoned